



**Program Directory for  
IBM Security Guardium S-TAP for IMS on z/OS**

V10.1.3

Program Number 5655-ST9

FMID HAIWA13

for Use with  
z/OS

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GI13-3560-02

**Note**

Before using this information and the product it supports, be sure to read the general information under 7.0, "Notices" on page 24.

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## 1.0 Introduction

This program directory is intended for system programmers who are responsible for program installation and maintenance. It contains information about the material and procedures associated with the installation of IBM Security Guardium S-TAP for IMS on z/OS. This publication refers to IBM Security Guardium S-TAP for IMS on z/OS as Guardium S-TAP for IMS.

The Program Directory contains the following sections:

- 2.0, “Program Materials” on page 3 identifies the basic program materials and documentation for Guardium S-TAP for IMS.
- 3.0, “Program Support” on page 6 describes the IBM support available for Guardium S-TAP for IMS.
- 4.0, “Program and Service Level Information” on page 8 lists the APARs (program level) and PTFs (service level) that have been incorporated into Guardium S-TAP for IMS.
- 5.0, “Installation Requirements and Considerations” on page 10 identifies the resources and considerations that are required for installing and using Guardium S-TAP for IMS.
- 6.0, “Installation Instructions” on page 17 provides detailed installation instructions for Guardium S-TAP for IMS. It also describes the procedures for activating the functions of Guardium S-TAP for IMS, or refers to appropriate publications.

Before installing Guardium S-TAP for IMS, read the *CBPDO Memo To Users* and the *CBPDO Memo To Users Extension* that are supplied with this program in softcopy format and this program directory; then keep them for future reference. Section 3.2, “Preventive Service Planning” on page 6 tells you how to find any updates to the information and procedures in this program directory.

Guardium S-TAP for IMS is supplied in a Custom-Built Product Delivery Offering (CBPDO, 5751-CS3). The program directory that is provided in softcopy format on the CBPDO tape is identical to the hardcopy format if one was included with your order. All service and HOLDDATA for Guardium S-TAP for IMS are included on the CBPDO tape.

Do not use this program directory if you install Guardium S-TAP for IMS with a SystemPac or ServerPac. When you use one of those offerings, use the jobs and documentation supplied with the offering. The offering will point you to specific sections of this program directory as needed.

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### 1.1 Guardium S-TAP for IMS Description

IBM Security Guardium S-TAP for IMS on z/OS is a software component of the Security Guardium solution that provides for the capture and delivery of IMS DL/I and related activity to a Guardium appliance for processing.

You can employ Security Guardium S-TAP for IMS independently in the mainframe environment only, or

integrate it with other Security Guardium database security and monitoring components across the enterprise to enable a more secure centralized audit repository and management point.

Security Guardium S-TAP for IMS, V10.1.3 offers the following features and benefits:

- Improved performance:
  - Reduce overhead and increase performance by reducing IMS S-TAP code path length
  - Optimized security policy pushdown from the appliance to reduce filtering overhead
- Enhanced auditing and filtering
  - Audit CICS Unit of Work across sub-systems: DB2 for z/OS, IMS, and VSAM datasets
  - Optionally limit personal data collection and only over encrypted network to meet governmental regulations
  - Filtering option to reduce audit noise by filtering out trusted IMS online region types
  - Optional shadow copy of IMS Recon data set to mitigate risk of contention with live IMS Recon
  - Add HALDB partition name to data collection and reports
  - Add new trace feature to the AUIA, AUIF, AUIL and AUIM tasks to trace audited events and include the field labels with the values convert any hexadecimal fields to EBCDIC
  - Add IMS ID to messages in syslog to better correlate events
- Enhanced usability and supportability:
  - Add IMS message to indicate when PST potential wait has been released
  - Initiate request from the collector to the S-TAP to send diagnostic information
  - Add simulation mode to simulate activity levels on the mainframe but do not sending data collection to the appliance, to test deployment and help size appliance requirements

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## 1.2 Guardium S-TAP for IMS FMIDs

Guardium S-TAP for IMS consists of the following FMIDs:

HAIWA13

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## 2.0 Program Materials

An IBM program is identified by a program number. The program number for Guardium S-TAP for IMS is 5655-ST9.

Basic Machine-Readable Materials are materials that are supplied under the base license and are required for the use of the product.

The program announcement material describes the features supported by Guardium S-TAP for IMS. Ask your IBM representative for this information if you have not already received a copy.

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### 2.1 Basic Machine-Readable Material

The distribution medium for this program is physical media or downloadable files. This program is in SMP/E RELFILE format and is installed by using SMP/E. See 6.0, "Installation Instructions" on page 17 for more information about how to install the program.

You can find information about the physical media for the basic machine-readable materials for Guardium S-TAP for IMS in the *CBPDO Memo To Users Extension*.

Figure 1 describes the program file content for Guardium S-TAP for IMS. You can refer to the *CBPDO Memo To Users Extension* to see where the files reside on the tape.

#### Notes:

1. The data set attributes in this table must be used in the JCL of jobs that read the data sets. However, because the data sets are in IEBCOPY unloaded format, their actual attributes might be different.
2. If any RELFILES are identified as PDSEs, ensure that SMPTLIB data sets are allocated as PDSEs.

Figure 1. Program File Content				
Name	ORG	RECFM	RECL	BLK SIZE
SMPMCS	SEQ	FB	80	6400
IBM.HAIWA13.F1	PDS	FB	80	8800
IBM.HAIWA13.F2	PDSE	U	0	6144
IBM.HAIWA13.F3	PDS	U	0	6144
IBM.HAIWA13.F4	PDSE	FB	80	8800
IBM.HAIWA13.F5	PDS	FB	80	8800

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## 2.2 Optional Machine-Readable Material

No optional machine-readable materials are provided for Guardium S-TAP for IMS.

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## 2.3 Program Publications

The following sections identify the basic publications for Guardium S-TAP for IMS.

Figure 2 identifies the basic unlicensed publications for Guardium S-TAP for IMS. Those that are in softcopy format publications can be obtained from the IBM Publications Center website at <http://www.ibm.com/shop/publications/order/>.

<i>Figure 2. Basic Material: Unlicensed</i>		
Publication Title	Form Number	Media Format
IBM Security Guardium S-TAP for IMS on z/OS License Information	GI13-3557	

The IBM Security Guardium S-TAP for IMS on z/OS User's Guide can be obtained from the IBM Knowledge Center website at:  
[https://www.ibm.com/support/knowledgecenter/SSMPHH\\_10.1.0/com.ibm.guardium.doc.zos/AUI/auiucon\\_bkoverview.html](https://www.ibm.com/support/knowledgecenter/SSMPHH_10.1.0/com.ibm.guardium.doc.zos/AUI/auiucon_bkoverview.html)

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## 2.4 Program Source Materials

No program source materials or viewable program listings are provided for Guardium S-TAP for IMS.

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## 2.5 Publications Useful During Installation

You might want to use the publications listed in Figure 3 during the installation of Guardium S-TAP for IMS.

<i>Figure 3. Publications Useful During Installation</i>		
Publication Title	Form Number	Media Format
<i>IBM SMP/E for z/OS User's Guide</i>	SA23-2277	IBM Publications Center
<i>IBM SMP/E for z/OS Commands</i>	SA23-2275	IBM Publications Center
<i>IBM SMP/E for z/OS Reference</i>	SA23-2276	IBM Publications Center
<i>IBM SMP/E for z/OS Messages, Codes, and Diagnosis</i>	GA32-0883	IBM Publications Center



The IBM Publications Center website can be found at:  
<https://www.ibm.com/shop/publications/order>.

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## 3.0 Program Support

This section describes the IBM support available for Guardium S-TAP for IMS.

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### 3.1 Program Services

Contact your IBM representative for specific information about available program services.

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### 3.2 Preventive Service Planning

Before you install Guardium S-TAP for IMS, make sure that you have reviewed the current Preventive Service Planning (PSP) information. Review the PSP Bucket for General Information, Installation Documentation, and the Cross Product Dependencies sections. For the Recommended Service section, instead of reviewing the PSP Bucket, it is recommended you use the `IBM.ProductInstall-RequiredService` fix category in SMP/E to ensure you have all the recommended service installed. Use the **FIXCAT(IBM.ProductInstall-RequiredService)** operand on the **APPLY CHECK** command. See 6.1.9, “Perform SMP/E APPLY” on page 20 for a sample APPLY command

If you obtained Guardium S-TAP for IMS as part of a CBPDO, HOLDDATA is included.

If the CBPDO for Guardium S-TAP for IMS is older than two weeks by the time you install the product materials, you can obtain the latest PSP Bucket information by going to the following website:

<http://www14.software.ibm.com/webapp/set2/psearch/search?domain=psp>

You can also use S/390 SoftwareXcel or contact the IBM Support Center to obtain the latest PSP Bucket information.

For program support, access the Software Support Website at <http://www-01.ibm.com/software/support/>.

PSP Buckets are identified by UPGRADEs, which specify product levels; and SUBSETs, which specify the FMIDs for a product level. The UPGRADE and SUBSET values for Guardium S-TAP for IMS are included in Figure 4.

Figure 4. PSP Upgrade and Subset ID		
UPGRADE	SUBSET	Description
5655ST9	HAIWA13	Guardium S-TAP for IMS

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### 3.3 Statement of Support Procedures

Report any problems which you feel might be an error in the product materials to your IBM Support Center. You may be asked to gather and submit additional diagnostics to assist the IBM Support Center in their analysis.

Figure 5 on page 7 identifies the component IDs (COMPID) for Guardium S-TAP for IMS.

<i>Figure 5. Component IDs</i>			
<b>FMID</b>	<b>COMPID</b>	<b>Component Name</b>	<b>RETAIN Release</b>
HAIWA13	5655STM00	IBM Security Guardium S-TAP for IMS on z/OS	A13

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## 4.0 Program and Service Level Information

This section identifies the program and relevant service levels of Guardium S-TAP for IMS. The program level refers to the APAR fixes that have been incorporated into the program. The service level refers to the PTFs that have been incorporated into the program.

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### 4.1 Program Level Information

The following APAR fixes against previous releases of Guardium S-TAP for IMS have been incorporated into this release. They are listed by FMID.

- FMID HAIW820

PM47555	PM52708	PM55453	PM62006	PM65219
PM48679	PM55147	PM57119	PM62386	PM65520
PM49178	PM55149	PM57124	PM62591	PM68438
PM49189	PM55150	PM58036	PM63877	PM68440
PM51281	PM55164	PM58072		

- FMID HAIW900

PM74293	PM74948	PM77489	PM82828	PM90929
PM74377	PM76134	PM78707	PM86379	PM92260
PM74781	PM76770	PM80073	PM87143	PM93568
PM74890	PM77387	PM81413	PM87144	PM93831
PM74892	PM77467	PM81756	PM87760	PM94987

- FMID HAIW910

PM98691	PI08531	PI12155	PI21669	PI31476
PM98925	PI08645	PI13096	PI22090	PI31628
PM98928	PI08720	PI13325	PI22324	PI32850
PM98943	PI08779	PI13681	PI22489	PI33299
PM99005	PI09880	PI15039	PI23747	PI35675
PM99152	PI09952	PI17139	PI24353	PI35943
PI05449	PI10250	PI17142	PI24356	PI36443
PI06107	PI10355	PI18180	PI26231	PI37811
PI06623	PI11118	PI19713	PI27250	PI40201
PI07042	PI11119	PI19816	PI27712	PI40798
PI07439	PI11123	PI20009	PI28303	PI42812
PI07706	PI11127	PI20937	PI28583	PI43190
PI07848	PI11421	PI20978	PI28897	PI44334
PI07878	PI11602	PI21327	PI30723	PI44335
PI07920				

- FMID HAIWA00

PI46199	PI51769	PI59698	PI67759	PI75529
PI46201	PI54513	PI60518	PI67982	PI76257
PI46953	PI54786	PI61537	PI70606	PI76360
PI46955	PI55461	PI62413	PI71661	PI77062
PI47175	PI55788	PI63970	PI72521	PI77067
PI47178	PI57492	PI65682	PI73399	PI78195
PI47592	PI57888	PI67098	PI74670	PI80055
PI48186	PI59529	PI67412	PI74718	PI80160
PI50998				

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## 4.2 Service Level Information

No PTFs against this release of Guardium S-TAP for IMS have been incorporated into the product package.

Frequently check the Guardium S-TAP for IMS PSP Bucket for HIPER and SPECIAL attention PTFs against all FMIDs that you must install. You can also receive the latest HOLDDATA, then add the **FIXCAT(IBM.PRODUCTINSTALL-REQUIRESERVICE)** operand on your APPLY CHECK command. This will allow you to review the recommended and critical service that should be installed with your FMIDs.

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## 5.0 Installation Requirements and Considerations

The following sections identify the system requirements for installing and activating Guardium S-TAP for IMS. The following terminology is used:

- *Driving system*: the system on which SMP/E is executed to install the program.

The program might have specific operating system or product level requirements for using processes, such as binder or assembly utilities during the installation.

- *Target system*: the system on which the program is configured and run.

The program might have specific product level requirements, such as needing access to the library of another product for link-edits. These requirements, either mandatory or optional, might directly affect the element during the installation or in its basic or enhanced operation.

In many cases, you can use a system as both a driving system and a target system. However, you can make a separate IPL-able clone of the running system to use as a target system. The clone must include copies of all system libraries that SMP/E updates, copies of the SMP/E CSI data sets that describe the system libraries, and your PARMLIB and PROCLIB.

Use separate driving and target systems in the following situations:

- When you install a new level of a product that is already installed, the new level of the product will replace the old one. By installing the new level onto a separate target system, you can test the new level and keep the old one in production at the same time.
- When you install a product that shares libraries or load modules with other products, the installation can disrupt the other products. By installing the product onto a separate target system, you can assess these impacts without disrupting your production system.

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### 5.1 Driving System Requirements

This section describes the environment of the driving system required to install Guardium S-TAP for IMS.

#### 5.1.1 Machine Requirements

The driving system can run in any hardware environment that supports the required software.

#### 5.1.2 Programming Requirements

Figure 6. Driving System Software Requirements

Program Number	Product Name	Minimum VRM	Minimum Service Level will satisfy these APARs	Included in the shipped product?
Any <b>one</b> of the following:				
5650-ZOS	z/OS	V02.01.00	N/A	No
5650-ZOS	z/OS	V02.02.00	N/A	No

**Note:** SMP/E is a requirement for Installation and is an element of z/OS but can also be ordered as a separate product, 5655-G44, minimally V03.06.00.

**Note:** Installation might require migration to new z/OS releases to be service supported. See [http://www-03.ibm.com/systems/z/os/zos/support/zos\\_eos\\_dates.html](http://www-03.ibm.com/systems/z/os/zos/support/zos_eos_dates.html).

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## 5.2 Target System Requirements

This section describes the environment of the target system required to install and use Guardium S-TAP for IMS.

Guardium S-TAP for IMS installs in the DBS (P115) SREL.

### 5.2.1 Machine Requirements

The target system can run in any hardware environment that supports the required software.

### 5.2.2 Programming Requirements

#### 5.2.2.1 Installation Requisites

Installation requisites identify products that are required and *must* be present on the system or products that are not required but *should* be present on the system for the successful installation of this product.

Mandatory installation requisites identify products that are required on the system for the successful installation of this product. These products are specified as PREs or REQs.

Figure 7. Target System Mandatory Installation Requisites

Program Number	Product Name	Minimum VRM	Minimum Service Level will satisfy these APARs	Included in the shipped product?
Any <b>one</b> of the following:				
5635-A04	IMS V13	13.01.00 or higher	N/A	No
Any <b>one</b> of the following:				
N/A	z/OS Binder	02.01.00 or higher	N/A	No

**Note:** Installation might require migration to new z/OS releases to be service supported. See [http://www-03.ibm.com/systems/z/os/zos/support/zos\\_eos\\_dates.html](http://www-03.ibm.com/systems/z/os/zos/support/zos_eos_dates.html).

Conditional installation requisites identify products that are *not* required for successful installation of this product but can resolve such things as certain warning messages at installation time. These products are specified as IF REQs.

Guardium S-TAP for IMS has no conditional installation requisites.

### 5.2.2.2 Operational Requisites

Operational requisites are products that are required and *must* be present on the system or products that are not required but *should* be present on the system for this product to operate all or part of its functions.

Mandatory operational requisites identify products that are required for this product to operate its basic functions. These products are specified as PREs or REQs.

Figure 8. Target System Mandatory Operational Requisites

Program Number	Product Name and Minimum VRM/Service Level
5635-A04	IMS V13 or higher

Conditional operational requisites identify products that are *not* required for this product to operate its basic functions but are required at run time for this product to operate specific functions. These products are specified as IF REQs.

Guardium S-TAP for IMS has no conditional operational requisites.



### 5.2.2.3 Toleration/Coexistence Requisites

Toleration/coexistence requisites identify products that must be present on sharing systems. These systems can be other systems in a multisystem environment (not necessarily sysplex), a shared DASD environment (such as test and production), or systems that reuse the same DASD environment at different time intervals.

Guardium S-TAP for IMS has no toleration/coexistence requisites.

### 5.2.2.4 Incompatibility (Negative) Requisites

Negative requisites identify products that must *not* be installed on the same system as this product.

Guardium S-TAP for IMS has no negative requisites.

## 5.2.3 DASD Storage Requirements

Guardium S-TAP for IMS libraries can reside on all supported DASD types.

Figure 9 lists the total space that is required for each type of library.

Figure 9. Total DASD Space Required by Guardium S-TAP for IMS		
Library Type	Total Space Required in 3390 Trks	File System Description
Target	4200	
Distribution	4200	

#### Notes:

1. For non-RECFM U data sets, IBM recommends using system-determined block sizes for efficient DASD utilization. For RECFM U data sets, IBM recommends using a block size of 32760, which is most efficient from the performance and DASD utilization perspective.

2. Abbreviations used for data set types are shown as follows.

- U** Unique data set, allocated by this product and used by only this product. This table provides all the required information to determine the correct storage for this data set. You do not need to refer to other tables or program directories for the data set size.
- S** Shared data set, allocated by this product and used by this product and other products. To determine the correct storage needed for this data set, add the storage size given in this table to those given in other tables (perhaps in other program directories). If the data set already exists, it must have enough free space to accommodate the storage size given in this table.
- E** Existing shared data set, used by this product and other products. This data set is *not* allocated by this product. To determine the correct storage for this data set, add the storage size given in this table to those given in other tables (perhaps in other program directories). If

the data set already exists, it must have enough free space to accommodate the storage size given in this table.

If you currently have a previous release of this product installed in these libraries, the installation of this release will delete the old release and reclaim the space that was used by the old release and any service that had been installed. You can determine whether these libraries have enough space by deleting the old release with a dummy function, compressing the libraries, and comparing the space requirements with the free space in the libraries.

For more information about the names and sizes of the required data sets, see 6.1.6, "Allocate SMP/E Target and Distribution Libraries" on page 20.

3. Abbreviations used for the file system path type are as follows.

- N** New path, created by this product.
- X** Path created by this product, but might already exist from a previous release.
- P** Previously existing path, created by another product.

4. All target and distribution libraries listed have the following attributes:

- The default name of the data set can be changed.
- The default block size of the data set can be changed.
- The data set can be merged with another data set that has equivalent characteristics.
- The data set can be either a PDS or a PDSE.

5. All target libraries listed have the following attributes:

- These data sets can be SMS-managed, but they are not required to be SMS-managed.
- These data sets are not required to reside on the IPL volume.
- The values in the "Member Type" column are not necessarily the actual SMP/E element types that are identified in the SMPMCS.

6. All target libraries that are listed and contain load modules have the following attributes:

- These data sets can be in the LPA, but they are not required to be in the LPA.
- These data sets can be in the LNKLIST.
- These data sets are not required to be APF-authorized.

The following figures describe the target and distribution libraries and file system paths required to install Guardium S-TAP for IMS. The storage requirements of Guardium S-TAP for IMS must be added to the storage required by other programs that have data in the same library or path.

**Note:** Use the data in these tables to determine which libraries can be merged into common data sets. In addition, since some ALIAS names may not be unique, ensure that no naming conflicts will be introduced before merging libraries.

Figure 10. Storage Requirements for Guardium S-TAP for IMS Target Libraries

Library DDNAME	Member Type	Target Volume	T Y P E	O R G	R E C F M	L R E C L	No. of 3390 Trks	No. of DIR Blks
SAUIIMOD	LMOD	ANY	U	PDS	U	0	3800	3800
SAUILOAD	LMOD	ANY	U	PDSE	U	0	2200	N/A
SAUIEXP	Data	ANY	U	PDS	FB	80	35	65
SAUISAMP	Sample	ANY	U	PDSE	FB	80	25	35

Figure 11. Storage Requirements for Guardium S-TAP for IMS Distribution Libraries

Library DDNAME	T Y P E	O R G	R E C F M	L R E C L	No. of 3390 Trks	No. of DIR Blks
AAUIIMOD	U	PDS	U	0	8	3800
AAUILOAD	U	PDSE	U	0	2200	N/A
AAUIEXP	U	PDS	FB	80	35	62
AAUISAMP	U	PDSE	FB	80	6	10

## 5.3 FMIDs Deleted

Installing Guardium S-TAP for IMS might result in the deletion of other FMIDs. To see which FMIDs will be deleted, examine the ++VER statement in the SMPMCS of the product.

If you do not want to delete these FMIDs at this time, install Guardium S-TAP for IMS into separate SMP/E target and distribution zones.

**Note:** These FMIDs are not automatically deleted from the Global Zone. If you want to delete these FMIDs from the Global Zone, use the SMP/E REJECT NOFMID DELETEFMID command. See the SMP/E Commands book for details.

## 5.4 Special Considerations

### 5.4.1 IBM Security Guardium S-TAP for z/OS security recommendations

The following security recommendations apply to S-TAP for Db2, IMS, and Data Sets.

- Define the ID assigned to the S-TAP started tasks via system authorization facility (SAF) to the S-TAP product load libraries with READ ONLY access.
- The ID assigned to the S-TAP started tasks should not be able to log on to TSO and should be designated for the exclusive use of the S-TAP started tasks.
- Ensure that the only TSO ID's able to update access to the S-TAP product load libraries are those that perform product installation and apply product maintenance.
- Security administrators need to work with systems programmers to ensure that the contents of APF/LINKLIST/LPA lists of program libraries are maintained correctly. Update access to these libraries must be defined for each library, independently of the RACF controls.
- Ensure verify the source of all APF authorized and system code that you install. If possible, get statements of assurance from the suppliers
- Manage your APF lists with great care. Double-check entries. Do not leave dead entries in the list for simplicity or ease of use. Use a formal checker for the lists if possible.
- Do not grant READ access for any configuration libraries except to users with a defined business need.
- Strictly follow the documented values for UACC values for system data sets.

For details on security practices, see IBM Redbooks Solution Guide Securing the IBM Mainframe.

### 5.4.2 Additional security recommendations for S-TAP for IMS

In addition to the security recommendations that apply to all IBM Security Guardium S-TAP products - Db2, IMS, and Data Sets - the following additional security recommendations apply to the IBM Security Guardium S-TAP for IMS.

S-TAP for IMS uses a partitioned data set AUIMOD DD that contains IMS-specific load modules and is access by the IMS Control Region and DLI/DBB batch jobs. This AUIMOD data set should be treated with the same security considerations and profile as your IMS RESLIB (SDFSRESL) data set. APF authorization is required. Set UACC(READ) and limit the number of update-capable users.

### 5.4.3 Further Special Considerations

Security Guardium S-TAP for IMS is designed to operate whether Integrated Cryptographic Services Facility (ICSF) is enabled or disabled. If ICSF is enabled on your system, the user ID running the server requires ICSF permissions.

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## 6.0 Installation Instructions

This chapter describes the installation method and the step-by-step procedures to install and to activate the functions of Guardium S-TAP for IMS.

Please note the following points:

- If you want to install Guardium S-TAP for IMS into its own SMP/E environment, consult the SMP/E manuals for instructions on creating and initializing the SMPCSI and the SMP/E control data sets.
- You can use the sample jobs that are provided to perform part or all of the installation tasks. The SMP/E jobs assume that all DDDEF entries that are required for SMP/E execution have been defined in appropriate zones.
- You can use the SMP/E dialogs instead of the sample jobs to accomplish the SMP/E installation steps.

---

### 6.1 Installing Guardium S-TAP for IMS

#### 6.1.1 SMP/E Considerations for Installing Guardium S-TAP for IMS

Use the SMP/E RECEIVE, APPLY, and ACCEPT commands to install this release of Guardium S-TAP for IMS.

#### 6.1.2 SMP/E Options Subentry Values

The recommended values for certain SMP/E CSI subentries are shown in Figure 12. Using values lower than the recommended values can result in failures in the installation. DSSPACE is a subentry in the GLOBAL options entry. PEMAX is a subentry of the GENERAL entry in the GLOBAL options entry. See the SMP/E manuals for instructions on updating the global zone.

<i>Figure 12. SMP/E Options Subentry Values</i>		
<b>Subentry</b>	<b>Value</b>	<b>Comment</b>
DSSPACE	(200,200,500)	3390 DASD tracks
PEMAX	SMP/E Default	IBM recommends using the SMP/E default for PEMAX.

### 6.1.3 SMP/E CALLLIBS Processing

Guardium S-TAP for IMS uses the CALLLIBS function provided in SMP/E to resolve external references during installation. When Guardium S-TAP for IMS is installed, ensure that DDDEFs exist for the following libraries:

- SCEEBIND
- SCEEBND2
- SCEELIB
- SCEELKED
- CSSLIB

**Note:** CALLLIBS uses the previous DDDEFs only to resolve the link-edit for Guardium S-TAP for IMS. These data sets are not updated during the installation of Guardium S-TAP for IMS.

### 6.1.4 Sample Jobs

The following sample installation jobs are provided as part of the product to help you install Guardium S-TAP for IMS:

<i>Figure 13. Sample Installation Jobs</i>			
Job Name	Job Type	Description	RELFILE
AUIWSMPE	SMPE	Sample job to define and prime a new SMP/E CSI ( <b>optional</b> )	IBM.HAIWA13.F4
AUIWRECV	RECEIVE	Sample RECEIVE job	IBM.HAIWA13.F4
AUIWALOC	ALLOCATE	Sample job to allocate target and distribution libraries	IBM.HAIWA13.F4
AUIWDDEF	DDDEF	Sample job to define SMP/E DDDEFs	IBM.HAIWA13.F4
AUIWAPLY	APPLY	Sample APPLY job	IBM.HAIWA13.F4
AUIWACPT	ACCEPT	Sample ACCEPT job	IBM.HAIWA13.F4

You can access the sample installation jobs by performing an SMP/E RECEIVE (refer to 6.1.8, “Perform SMP/E RECEIVE” on page 20) then copy the jobs from the RELFILES to a work data set for editing and submission. See Figure 13 to find the appropriate relfile data set.

You can also copy the sample installation jobs from the tape or product files by submitting the following job. Depending on your distribution medium, use either the //TAPEIN or the //FILEIN DD statement and comment out or delete the other statement. Before you submit the job, add a job card and change the lowercase parameters to uppercase values to meet the requirements of your site.

```
//STEP1      EXEC PGM=IEBCOPY
//SYSPRINT DD SYSOUT=*
//TAPEIN DD DSN=IBM.HAIWA13.F4,UNIT=tunit,
//          VOL=SER=volser,LABEL=(x,SL),
//          DISP=(OLD,KEEP)
//FILEIN DD DSN=IBM.HAIWA13.F4,UNIT=SYSALLDA,DISP=SHR,
//          VOL=SER=filevol
//OUT DD DSNAME=jcl-library-name,
//          DISP=(NEW,CATLG,DELETE),
//          VOL=SER=dasdvol,UNIT=SYSALLDA,
//          SPACE=(TRK,(primary,secondary,dir))
//SYSUT3 DD UNIT=SYSALLDA,SPACE=(CYL,(1,1))
//SYSIN DD *
          COPY INDD=xxxxIN,OUTDD=OUT
/*
```

See the following information to update the statements in the previous sample:

**TAPEIN:**

**tunit** is the unit value that matches the product package.

**volser** is the volume serial that matches the product package.

**x** is the tape file number that indicates the location of the data set name on the tape.

See the documentation that is provided by CBPDO for the location of IBM.HAIWA13.F4 on the tape.

**FILEIN:**

**filevol** is the volume serial of the DASD device where the downloaded files reside.

**OUT:**

**jcl-library-name** is the name of the output data set where the sample jobs are stored.

**dasdvol** is the volume serial of the DASD device where the output data set resides.

**SYSIN:**

**xxxxIN** is either TAPEIN or FILEIN depending on your input DD statement.

## 6.1.5 Allocate and Initialize the SMP/E Data Sets (Optional)

You can install Guardium S-TAP for IMS into an existing SMP/E environment or, optionally, into its own unique SMP/E environment.

- If you install into existing SMP/E data sets, ensure that you have enough space.
- If you plan to install into an existing zone, the cluster should have already been allocated and primed. You can go on to the next step to perform an SMP/E RECEIVE.
- To install into a new zone, edit and submit sample job AUIWSMPE to define and prime a new SMP/E CSI cluster. Consult the instructions in the sample job for more information.

**Expected Return Codes and Messages:** You will get a return code of 0 if the job runs correctly.

## 6.1.6 Allocate SMP/E Target and Distribution Libraries

Edit and submit sample job AUIWALOC to allocate the SMP/E target and distribution libraries for Guardium S-TAP for IMS. Consult the instructions in the sample job for more information.

**Expected Return Codes and Messages:** You will receive a return code of 0 if this job runs correctly.

## 6.1.7 Create DDDEF Entries

Edit and submit sample job AUIWDDEF to create DDDEF entries for the SMP/E target and distribution libraries for Guardium S-TAP for IMS. Consult the instructions in the sample job for more information.

**Expected Return Codes and Messages:** You will receive a return code of 0 if this job runs correctly.

## 6.1.8 Perform SMP/E RECEIVE

If you have obtained Guardium S-TAP for IMS as part of a CBPDO, use the RCVPDO job in the CBPDO RIMLIB data set to receive the Guardium S-TAP for IMS FMIDs, service, and HOLDDATA that are included on the CBPDO package. For more information, see the documentation that is included in the CBPDO.

You can also choose to edit and submit sample job AUIWRECV to perform the SMP/E RECEIVE for Guardium S-TAP for IMS. Consult the instructions in the sample job for more information.

**Expected Return Codes and Messages:** You will receive a return code of 0 if this job runs correctly.

## 6.1.9 Perform SMP/E APPLY

1. Ensure that you have the latest HOLDDATA; then edit and submit sample job AUIWAPLY to perform an SMP/E APPLY CHECK for Guardium S-TAP for IMS. Consult the instructions in the sample job for more information.

The latest HOLDDATA is available through several different portals, including <http://service.software.ibm.com/holddata/390holddata.html>. The latest HOLDDATA may identify HIPER and FIXCAT APARs for the FMIDs you will be installing. An APPLY CHECK will help you determine if any HIPER or FIXCAT APARs are applicable to the FMIDs you are installing. If there are any applicable HIPER or FIXCAT APARs, the APPLY CHECK will also identify fixing PTFs that will resolve the APARs, if a fixing PTF is available.

You should install the FMIDs regardless of the status of unresolved HIPER or FIXCAT APARs. However, do not deploy the software until the unresolved HIPER and FIXCAT APARs have been analyzed to determine their applicability. That is, before deploying the software either ensure fixing PTFs are applied to resolve all HIPER or FIXCAT APARs, or ensure the problems reported by all HIPER or FIXCAT APARs are not applicable to your environment.

To receive the full benefit of the SMP/E Causer SYSMOD Summary Report, do *not* bypass the PRE, ID, REQ, and IFREQ on the APPLY CHECK. The SMP/E root cause analysis identifies the cause



only of *errors* and not of *warnings* (SMP/E treats bypassed PRE, ID, REQ, and IFREQ conditions as warnings, instead of errors).

Here are sample APPLY commands:

- a. To ensure that all recommended and critical service is installed with the FMIDs, receive the latest HOLDDATA and use the APPLY CHECK command as follows

```
APPLY S(fmid,fmid,...) CHECK
FORFMID(fmid,fmid,...)
SOURCEID(RSU*)
FIXCAT(IBM.ProductInstall-RequiredService)
GROUPEXTEND .
```

Some HIPER APARs might not have fixing PTFs available yet. You should analyze the symptom flags for the unresolved HIPER APARs to determine if the reported problem is applicable to your environment and if you should bypass the specific ERROR HOLDS in order to continue the installation of the FMIDs.

This method requires more initial research, but can provide resolution for all HIPERs that have fixing PTFs available and are not in a PE chain. Unresolved PEs or HIPERs might still exist and require the use of BYPASS.

- b. To install the FMIDs without regard for unresolved HIPER APARs, you can add the BYPASS(HOLDCLASS(HIPER)) operand to the APPLY CHECK command. This will allow you to install FMIDs even though one or more unresolved HIPER APARs exist. After the FMIDs are installed, use the SMP/E REPORT ERRSYSMODS command to identify unresolved HIPER APARs and any fixing PTFs.

```
APPLY S(fmid,fmid,...) CHECK
FORFMID(fmid,fmid,...)
SOURCEID(RSU*)
FIXCAT(IBM.ProductInstall-RequiredService)
GROUPEXTEND
BYPASS(HOLDCLASS(HIPER)) .
..any other parameters documented in the program directory
```

This method is quicker, but requires subsequent review of the Exception SYSMOD report produced by the REPORT ERRSYSMODS command to investigate any unresolved HIPERs. If you have received the latest HOLDDATA, you can also choose to use the REPORT MISSINGFIX command and specify Fix Category IBM.ProductInstall-RequiredService to investigate missing recommended service.

If you bypass HOLDS during the installation of the FMIDs because fixing PTFs are not yet available, you can be notified when the fixing PTFs are available by using the APAR Status Tracking (AST) function of ServiceLink or the APAR Tracking function of ResourceLink.

2. After you take actions that are indicated by the APPLY CHECK, remove the CHECK operand and run the job again to perform the APPLY.

**Note:** The GROUPEXTEND operand indicates that SMP/E applies all requisite SYSMODs. The requisite SYSMODS might be applicable to other functions.

**Expected Return Codes and Messages from APPLY CHECK:** You will receive a return code of 0 if this job runs correctly.

**Expected Return Codes and Messages from APPLY:** You will receive a return code of 0 if this job runs correctly.

## 6.1.10 Perform SMP/E ACCEPT

Edit and submit sample job AUIWACPT to perform an SMP/E ACCEPT CHECK for Guardium S-TAP for IMS. Consult the instructions in the sample job for more information.

To receive the full benefit of the SMP/E Causer SYSMOD Summary Report, do *not* bypass the PRE, ID, REQ, and IFREQ on the ACCEPT CHECK. The SMP/E root cause analysis identifies the cause of *errors* but not *warnings* (SMP/E treats bypassed PRE, ID, REQ, and IFREQ conditions as warnings rather than errors).

Before you use SMP/E to load new distribution libraries, it is recommended that you set the ACCJCLIN indicator in the distribution zone. In this way, you can save the entries that are produced from JCLIN in the distribution zone whenever a SYSMOD that contains inline JCLIN is accepted. For more information about the ACCJCLIN indicator, see the description of inline JCLIN in the SMP/E Commands book for details.

After you take actions that are indicated by the ACCEPT CHECK, remove the CHECK operand and run the job again to perform the ACCEPT.

**Note:** The GROUPEXTEND operand indicates that SMP/E accepts all requisite SYSMODs. The requisite SYSMODS might be applicable to other functions.

**Expected Return Codes and Messages from ACCEPT CHECK:** You will receive a return code of 0 if this job runs correctly.

If PTFs that contain replacement modules are accepted, SMP/E ACCEPT processing will link-edit or bind the modules into the distribution libraries. During this processing, the Linkage Editor or Binder might issue messages that indicate unresolved external references, which will result in a return code of 4 during the ACCEPT phase. You can ignore these messages, because the distribution libraries are not executable and the unresolved external references do not affect the executable system libraries.

**Expected Return Codes and Messages from ACCEPT:** You will receive a return code of 0 if this job runs correctly.

## 6.1.11 Run REPORT CROSSZONE

The SMP/E REPORT CROSSZONE command identifies requisites for products that are installed in separate zones. This command also creates APPLY and ACCEPT commands in the SMPPUNCH data set. You can use the APPLY and ACCEPT commands to install those cross-zone requisites that the SMP/E REPORT CROSSZONE command identifies.

After you install Guardium S-TAP for IMS, it is recommended that you run REPORT CROSSZONE against the new or updated target and distribution zones. REPORT CROSSZONE requires a global zone with ZONEINDEX entries that describe all the target and distribution libraries to be reported on.

For more information about REPORT CROSSZONE, see the SMP/E manuals.

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## 6.2 Activating Guardium S-TAP for IMS

The publication *IBM Security Guardium S-TAP for IMS on z/OS User's Guide* (SC27-8022) contains the necessary information to customize and use Guardium S-TAP for IMS.

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## Reader's Comments

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